

SOME GEOMETRIC PROPERTIES OF READ'S SPACE

VLADIMIR KADETS, GINÉS LÓPEZ-PÉREZ, AND MIGUEL MARTÍN

ABSTRACT. We study geometric properties of the Banach space \mathcal{R} constructed recently by C. Read [8] which does not contain proximal subspaces of finite codimension greater than or equal to two. Concretely, we show that the bidual of \mathcal{R} is strictly convex, that the norm of the dual of \mathcal{R} is rough, and that \mathcal{R} is weakly locally uniformly rotund (but it is not locally uniformly rotund). Apart of the own interest of the results, they provide a simplification of the proof by M. Rmoutil [9] that the set of norm-attaining functionals over \mathcal{R} does not contain any linear subspace of dimension greater than or equal to two. Note that if a Banach space X contains proximal subspaces of finite codimension at least two, then the set of norm-attaining functionals over X contain two-dimensional linear subspaces of X^* . Our results also provides positive answer to the questions of whether the dual of \mathcal{R} is smooth and of whether \mathcal{R} is weakly locally uniformly rotund [9]. Finally, we present a renorming of Read's space which is smooth, whose dual is smooth, and such that its set of norm-attaining functionals does not contain any linear subspace of dimension greater than or equal to two, so the renormed space does not contain proximal subspaces of finite codimension greater than or equal to two.

1. INTRODUCTION

In his recent brilliant manuscript [8], the late Charles J. Read constructed an equivalent norm $\|\cdot\|$ on c_0 such that the space $\mathcal{R} = (c_0, \|\cdot\|)$ answers negatively the following open problem by Ivan Singer from 1974 [10]:

- (S) Is it true that every Banach space contains a proximal subspace of finite codimension greater than or equal to 2?

Recall that a subset Y of a Banach space X is said to be *proximal* if for every $x \in X$ there is $y_0 \in Y$ such that $\|x - y_0\| = \inf\{\|x - y\| : y \in Y\}$.

Many times, when an interesting and non-trivial space is constructed to produce a counterexample, it can be also used to solve some other different problems. In the case of Read's space, this didn't wait for too long: in [9, Theorem 4.2], Martin Rmoutil demonstrates that the space \mathcal{R} also gives a negative solution to the following problem by Gilles Godefroy [5, Problem III]:

Date: April 3rd, 2017; revised: May 15th, 2017; re-revised: June 2nd, 2017.

2010 Mathematics Subject Classification. Primary 46B04; Secondary 46B03, 46B20.

Key words and phrases. Banach space; proximal subspace; norm attaining functionals; strict convexity; smoothness; weakly locally uniform rotundity.

The research of the first author is done in frames of Ukrainian Ministry of Science and Education Research Program 0115U000481, and it was partially done during his stay in the University of Granada which was supported by the Spanish MINECO/FEDER grant MTM2015-65020-P. The research of the second and third authors is partially supported by Spanish MINECO/FEDER grant MTM2015-65020-P.

- (G) is it true that for every Banach space X the set $\text{NA}(X) \subset X^*$ of norm attaining functionals contains a two-dimensional linear subspace?

Recall that an element f of the dual X^* of a Banach space X is said to be *norm attaining* if there is $x \in X$ with $\|x\| = 1$ such that $\|f\| = |f(x)|$.

The utility of Read's space makes clear that it is interesting to increase our knowledge of its geometry. The aim of this note is to show that Read's space fulfills the following properties:

- (a) the bidual \mathcal{R}^{**} of Read's space is strictly convex,
- (b) therefore, \mathcal{R}^* is smooth and
- (c) \mathcal{R} is also strictly convex;
- (d) moreover, \mathcal{R} is weakly locally uniformly rotund (WLUR);
- (e) the norm of \mathcal{R}^* is rough, so it is not Fréchet differentiable at any point
- (f) and the norm of \mathcal{R} is not locally uniformly rotund (LUR);
- (g) moreover, there is $\rho > 0$ such that every weakly open subset of the unit ball of \mathcal{R} has diameter greater than or equal to ρ .

The main point in Rmoutil's proof was the demonstration that for several closed subspaces Y of \mathcal{R} , the corresponding quotient spaces X/Y are strictly convex. Observe that it follows from assertion (b) above that ALL quotient spaces \mathcal{R}/Y are strictly convex (because their duals Y^\perp are smooth). This gives a substantial simplification of the proof of [9, Theorem 4.2]. Even more, it follows from a 1987 paper by V. Indumathi [6, Proposition 1], that if X is a Banach space with X^* smooth at every point of $\text{NA}(X) \cap S_{X^*}$, then a finite-codimensional subspace Y of X is proximal if and only if $Y^\perp \subset \text{NA}(X)$. This hypothesis on X is satisfied if X^* is smooth (clear) or if X is WLUR (see [11]). Let us comment that the facts that \mathcal{R} is WLUR and \mathcal{R}^* is smooth were said to be unexpected in the cited paper [9] by Rmoutil.

As a final result of the paper, we present a renorming of Read's space which is smooth, whose dual is smooth and which solves negatively both problems (S) and (G).

Let us now present the notation we are using along the paper. We deal only with real scalars and real Banach spaces. By $\|\cdot\|_1$ and $\|\cdot\|_\infty$ we denote the standard norms on ℓ_1 and ℓ_∞ respectively, and by $(e_n)_{n \in \mathbb{N}}$ we denote the canonical basis vectors, i.e. the k -th coordinate $e_{n,k}$ of e_n equals 0 for $n \neq k$ and equals 1 for $n = k$. In the sequel, each time it will be clear from the context in what sequence space these e_n 's are considered. For $x = (x_k)_{k \in \mathbb{N}} \in \ell_\infty$, $y = (y_k)_{k \in \mathbb{N}} \in \ell_1$, we use the standard notation $\langle x, y \rangle = \sum_{n \in \mathbb{N}} x_n y_n$. If X is an arbitrary Banach space, B_X denotes its closed unit ball, S_X denotes its unit sphere, and X^* is the dual of X . We refer the reader to the books [3] and [4] for background on geometry of Banach spaces.

Let us finally recall the definition of Read's space and its basic properties from [8]. Let $c_{00}(\mathbb{Q})$ be the set of all terminating sequences with rational coefficients, and let $(u_n)_{n \in \mathbb{N}}$ be a sequence of elements of $c_{00}(\mathbb{Q})$ which lists every element infinitely many times. Further, let $(a_n)_{n \in \mathbb{N}}$ be a strictly increasing sequence of positive integers satisfying that

$$a_n > \max \text{supp } u_n \quad \text{and} \quad a_n > \|u_n\|_1$$

for every $n \in \mathbb{N}$. The equivalent norm $||| \cdot |||$ on c_0 is defined in [8] as follows:

$$(1) \quad |||x||| := \|x\|_\infty + \sum_{n \in \mathbb{N}} 2^{-a_n^2} |\langle x, u_n - e_{a_n} \rangle| \quad (x \in c_0).$$

Now, as we mentioned above, $\mathcal{R} := (c_0, ||| \cdot |||)$. To simplify the notation, let us denote

$$v_n = \frac{u_n - e_{a_n}}{\|u_n - e_{a_n}\|_1} \in \ell_1, \quad r_n = 2^{-a_n^2} \|u_n - e_{a_n}\|_1$$

for every $n \in \mathbb{N}$. Then (1) rewrites as

$$(2) \quad |||x||| = \|x\|_\infty + \sum_{n \in \mathbb{N}} r_n |\langle x, v_n \rangle|$$

for every $x \in \mathcal{R}$. We finally remark that $\sum_{n \in \mathbb{N}} r_n \leq 2$ [8] and that the sequence $(v_n)_{n \in \mathbb{N}}$ is dense in S_{ℓ_1} . Observe that it follows that

$$(3) \quad \|x\|_\infty \leq |||x||| \leq 3\|x\|_\infty$$

for every $x \in \mathcal{R}$ [8, Eq. 4].

2. THE RESULTS

Our first aim is to show that \mathcal{R}^{**} is strictly convex but, previously, we need a description of \mathcal{R}^{**} which can be of independent interest.

Proposition 1. *The bidual space \mathcal{R}^{**} of Read's space is naturally isometric to ℓ_∞ equipped with the norm given by the formula (2).*

Proof. Since \mathcal{R} is c_0 in the equivalent norm $||| \cdot |||$, \mathcal{R}^{**} should be ℓ_∞ equipped with an equivalent norm $||| \cdot |||^{**}$. We want to demonstrate that $(\ell_\infty, ||| \cdot |||^{**}) = (\ell_\infty, ||| \cdot |||)$. By Goldstine's theorem, the unit ball of $\mathcal{R}^{**} = (\ell_\infty, ||| \cdot |||^{**})$ is the weak*-closure in ℓ_∞ of $B_{\mathcal{R}}$. So, what remains to demonstrate is that the set $U := \{\bar{x} \in \ell_\infty : |||\bar{x}||| \leq 1\}$ is equal to the weak*-closure in ℓ_∞ of $B_{\mathcal{R}}$. Recall that on bounded sets of ℓ_∞ , the weak*-topology is metrizable (so we can use the sequential language), and the weak*-convergence is just the coordinate-wise one. Let us demonstrate first that U is weak*-closed, so U will contain the weak*-closure of $B_{\mathcal{R}}$, $B_{\mathcal{R}}^{**}$. Indeed, consider a sequence of $(\bar{z}_m)_{m \in \mathbb{N}}$ in U with $w^* - \lim_m \bar{z}_m = \bar{z} \in \ell_\infty$. Since all the maps $\bar{x} \mapsto \langle \bar{x}, v_n \rangle$ are weak*-continuous on ℓ_∞ , we have that $\lim_m \langle \bar{z}_m, v_n \rangle = \langle \bar{z}, v_n \rangle$ for all $n \in \mathbb{N}$. Passing to a subsequence, we may (and do) assume that there exists $\lim_m \|\bar{z}_m\|_\infty$ which satisfies that $\lim_m \|\bar{z}_m\|_\infty \geq \|\bar{z}\|_\infty$. Now,

$$|||\bar{z}||| = \|\bar{z}\|_\infty + \sum_{n \in \mathbb{N}} r_n |\langle \bar{z}, v_n \rangle| \leq \lim_m \|\bar{z}_m\|_\infty + \sum_{n \in \mathbb{N}} r_n \lim_m |\langle \bar{z}_m, v_n \rangle|$$

and using the version for series of Lebesgue's dominated convergence theorem

$$= \lim_m \left(\|\bar{z}_m\|_\infty + \sum_{n \in \mathbb{N}} r_n |\langle \bar{z}_m, v_n \rangle| \right) \leq 1,$$

which demonstrates the desired weak*-closedness of U .

Let us show that $B_{\mathcal{R}}$ is weak*-dense in U . Indeed, for every $\bar{x} = (x_1, x_2, \dots) \in U \subset \ell_\infty$ and every $m \in \mathbb{N}$, denote $S_m \bar{x} = \sum_{k=1}^m x_k e_k \in c_0$. Then, $w^* - \lim_m S_m \bar{x} = \bar{x}$ and, consequently, $\lim_m \langle S_m \bar{x}, v_n \rangle = \langle \bar{x}, v_n \rangle$ for all $n \in \mathbb{N}$. Since $\lim_m \|S_m \bar{x}\|_\infty = \|\bar{x}\|_\infty$, another application of Lebesgue's dominated convergence theorem gives us

$$|||\bar{x}||| = \lim_m |||S_m \bar{x}|||.$$

Now, consider $x_m = \frac{|||\bar{x}|||}{|||S_m \bar{x}|||} S_m \bar{x}$ for $m \in \mathbb{N}$. We have that $x_m \in c_0$, $|||x_m||| = |||\bar{x}||| \leq 1$, so $x_m \in B_{\mathcal{R}}$ for every $m \in \mathbb{N}$. At the same time, $w^* - \lim_m x_m = w^* - \lim_m S_m \bar{x} = \bar{x}$. This completes the demonstration of the weak*-density of $B_{\mathcal{R}}$ in U , and thus the proof of the theorem. \square

From the proof above, we may extract the following property of Read's norm which we will use later and which is consequence of Lebesgue's dominated convergence theorem for series.

Remark 2. Let $(\bar{z}_m)_{m \in \mathbb{N}}$ be a sequence in ℓ_∞ which weakly*-converges to $\bar{z} \in \ell_\infty$. Then, there exists $\lim_m |||\bar{z}_m|||$ if and only if there exists $\lim_m \|\bar{z}_m\|_\infty$. Besides, $\lim_m |||\bar{z}_m||| = |||\bar{z}|||$ if and only if $\lim_m \|\bar{z}_m\|_\infty = \|\bar{z}\|_\infty$.

Let us note that Proposition 1 is a particular case of the following general result which is a consequence of the "principle of local reflexivity for operators" [1] and which has been suggested to us by the referee.

Proposition 3. Let X, Y be Banach spaces and let $R : X \rightarrow Y$ be a bounded linear operator. Define a (equivalent) norm on X by

$$|||x||| = \|x\|_X + \|Rx\|_Y$$

for every $x \in X$. Then the norm of $(X, |||\cdot|||)^{**}$ is given by the formula

$$|||x^{**}||| = \|x^{**}\|_{X^{**}} + \|R^{**}x^{**}\|_{Y^{**}}$$

for every $x^{**} \in X^{**}$ (R^{**} denotes the biconjugate operator of R).

Proof. Write

$$B = \{x \in X : \|x\|_X + \|Rx\|_Y \leq 1\},$$

$$A = \{x^{**} \in X^{**} : \|x^{**}\|_{X^{**}} + \|R^{**}x^{**}\|_{Y^{**}} \leq 1\},$$

$$A_0 = \{x^{**} \in X^{**} : \|x^{**}\|_{X^{**}} + \|R^{**}x^{**}\|_{Y^{**}} < 1\}.$$

We have to prove that A coincides with the weak*-closure of B . It is clear that A contains the weak*-closure of B since it contains B and it is weak*-closed by the weak* lower semicontinuity of the norm. Besides, it is enough to prove that the weak*-closure of B contains A_0 . Therefore, we fix $x_0^{**} \in A_0$, we write $\delta = 1 - (\|x_0^{**}\|_{X^{**}} + \|R^{**}x_0^{**}\|_{Y^{**}}) > 0$, and we fix a weak* neighborhood U of x_0^{**} which we may suppose that is of the form

$$U = \{z^{**} \in X^{**} : |\langle f, z^{**} - x_0^{**} \rangle| < \gamma \ \forall f \in D\}$$

for suitable $D \subset S_{X^*}$ finite and $\gamma > 0$. We can now apply the principle of local reflexivity for operators [1, Theorem 5.2] to get a point $x_0 \in X$ satisfying that

$$\|x_0\|_X \leq \|x_0^{**}\|_{X^{**}} + \delta/2, \quad \|Rx_0\|_Y \leq \|R^{**}x_0^{**}\|_{Y^{**}} + \delta/2, \quad \text{and} \quad \langle x_0, f \rangle = \langle f, x_0^{**} \rangle \ \forall f \in D.$$

We clearly have that $x_0 \in B \cap U$, finishing the proof. \square

We are now ready to present the strict convexity of the bidual of \mathcal{R} . Recall that a Banach space X is said to be *strictly convex* if S_X does not contain any non-trivial segment or, equivalently, if $\|x + y\| < 2$ whenever $x, y \in B_X$, $x \neq y$.

Theorem 4. *The bidual space \mathcal{R}^{**} of Read's space is strictly convex.*

Proof. Let $\bar{x}, \bar{y} \in S_{\mathcal{R}^{**}}$, $\bar{x} \neq \bar{y}$. Our goal is to demonstrate that $\|\bar{x} + \bar{y}\| < 2$. Let us use the fact that the sequence $(v_n)_{n \in \mathbb{N}}$ from the formula (2) is dense in S_{ℓ_1} . This implies the existence of $k \in \mathbb{N}$ such that the values of $\langle \bar{x}, v_k \rangle$ and $\langle \bar{y}, v_k \rangle$ are non-zero and of opposite signs. Then,

$$|\langle \bar{x} + \bar{y}, v_k \rangle| < |\langle \bar{x}, v_k \rangle| + |\langle \bar{y}, v_k \rangle|.$$

On the other hand, the triangle inequality says that

$$\|\bar{x} + \bar{y}\|_\infty \leq \|\bar{x}\|_\infty + \|\bar{y}\|_\infty \quad \text{and} \quad |\langle \bar{x} + \bar{y}, v_n \rangle| \leq |\langle \bar{x}, v_n \rangle| + |\langle \bar{y}, v_n \rangle|$$

for all $n \in \mathbb{N} \setminus \{k\}$. Combining all these inequalities with the formula (2), we obtain the desired estimate:

$$\begin{aligned} \|\bar{x} + \bar{y}\| &= \|\bar{x} + \bar{y}\|_\infty + \sum_{n \in \mathbb{N}} r_n |\langle \bar{x} + \bar{y}, v_n \rangle| \\ &< \|\bar{x}\|_\infty + \sum_{n \in \mathbb{N}} r_n |\langle \bar{x}, v_n \rangle| + \|\bar{y}\|_\infty + \sum_{n \in \mathbb{N}} r_n |\langle \bar{y}, v_n \rangle| = 2. \end{aligned} \quad \square$$

As an immediate consequence, \mathcal{R}^* is *smooth*, i.e. its norm is Gâteaux differentiable at every non-zero element (see [4, Fact 8.12], for instance).

Corollary 5. *The dual space \mathcal{R}^* of Read's space is smooth.*

However, the norm of \mathcal{R}^* cannot be Fréchet differentiable at any point, as we may show that it is rough. A norm of a Banach space is said to be ε -*rough* ($\varepsilon > 0$) if

$$\limsup_{\|h\| \rightarrow 0} \frac{\|x + h\| + \|x - h\| - 2\|x\|}{\|h\|} \geq \varepsilon$$

for every $x \in X$. The norm is said to be *rough* if it is ε -rough for some $\varepsilon \in (0, 2]$. We refer the reader to the classical book [3] on smoothness and renorming for more information and background. Clearly, a rough norm is not Fréchet differentiable at any point.

Theorem 6. *The norm of the dual space \mathcal{R}^* of Read's space is 2/3-rough.*

We need a formula for the supremum norm of c_0 which is well-known. It follows from the fact that c_0 has a property related to M -ideals called (m_∞) and which was deeply studied by N. Kalton and D. Werner in [7]. We include a simple proof of a slightly more general result here for the sake of completeness.

Lemma 7. *Assume that $(u_m)_{m \in \mathbb{N}}$ is a weakly*-null sequence in ℓ_∞ such that the limit $\lim_m \|u_m\|_\infty$ exists. Then, for every $u \in c_0$*

$$\lim_m \|u + u_m\|_\infty = \max\{\|u\|_\infty, \lim_m \|u_m\|_\infty\}.$$

Proof. Suppose first that u has finite support. Let $n \in \mathbb{N}$ be an integer such that all non-zero coordinates of u are smaller than n and denote by P the projection of ℓ_∞ on the first n coordinates. Then, $(Pu_m)_{m \in \mathbb{N}}$ go coordinate-wise to zero, but there are only n non-null coordinates, so actually $(Pu_m)_{m \in \mathbb{N}}$ go to zero in norm. With that in mind, we get that

$$\begin{aligned} \lim_m \|u + u_m\|_\infty &= \lim_m \|u + (u_m - Pu_m)\|_\infty = \lim_m \max\{\|u\|_\infty, \|u_m - Pu_m\|_\infty\} \\ &= \max\{\|u\|_\infty, \lim_m \|u_m\|_\infty\}, \end{aligned}$$

where in the second equality we have used the disjointness of the supports of u and $u_m - Pu_m$.

Now, let us define $f_m : c_0 \rightarrow \mathbb{R}$ by $f_m(u) = \|u + u_m\|_\infty$ for every $u \in c_0$ and every $m \in \mathbb{N}$. Then, all the functions f_m are 1-Lipschitz and the sequence $(f_m)_{m \in \mathbb{N}}$ converges pointwise on the dense set c_{00} to a function which is a fortiori 1-Lipschitz. It is now routine, using again that the Lipschitz constants of the f_m 's are uniformly bounded, to prove that $(f_m)_{m \in \mathbb{N}}$ converges pointwise on the whole c_0 to the unique extension of the limit on c_{00} . \square

We are now ready to prove that the norm of \mathcal{R}^* is rough.

Proof of Theorem 6. Fix $x_0^* \in S_{\mathcal{R}^*}$ and $\lambda \in (0, 1)$. Given $\delta \in (0, 1/3)$, we take $x_0 \in B_{\mathcal{R}^*}$ such that

$$x_0^*(x_0) > 1 - 3\lambda\delta \quad \text{and} \quad |||x_0||| < 1 - \lambda\delta.$$

Write $\rho = 1/3 - \lambda\delta$. We have that $\|x_0\|_\infty \geq \frac{1}{3}|||x_0||| \geq \rho$ (use (3)) and that the sequence $(\rho e_m)_{m \in \mathbb{N}}$ is weakly-null, so Lemma 7 gives us that

$$\lim_m \|x_0 \pm \rho e_m\|_\infty = \max\{\|x_0\|_\infty, \lim_m \|\rho e_m\|_\infty\} = \|x_0\|_\infty.$$

It then follows from Remark 2 that

$$\lim_m |||x_0 \pm \rho e_m||| = |||x_0||| < 1 - \lambda\delta.$$

With all of these in mind, we may find $N \in \mathbb{N}$ such that

$$(4) \quad |||x_0 \pm \rho e_N||| \leq 1 \quad \text{and} \quad x_0^*(x_0 \pm \rho e_N) > 1 - 3\lambda\delta.$$

Finally, take $y^* \in S_{\mathcal{R}^*}$ such that

$$y^*(e_N) = |||e_N||| \geq 1.$$

We have that

$$\begin{aligned} |||x_0^* + \lambda y^*||| + |||x_0^* - \lambda y^*||| &\geq \langle x_0 + \rho e_N, x_0^* + \lambda y^* \rangle + \langle x_0 - \rho e_N, x_0^* - \lambda y^* \rangle \\ &> 2 - 6\lambda\delta + 2\lambda\rho = 2 + \lambda \left(\frac{2}{3} - (6 + \lambda)\delta \right). \end{aligned}$$

Summarizing, we have proved that for every $x^* \in S_{\mathcal{R}^*}$ and every $\lambda \in (0, 1)$,

$$\sup_{z^* \in \mathcal{R}, |||z^*||| = \lambda} \frac{|||x^* + z^*||| + |||x^* - z^*||| - 2}{\lambda} \geq \frac{2}{3}.$$

This gives the 2/3-roughness of \mathcal{R}^* , as desired. \square

Observe that following the above proof until (4), we get that every slice of the unit ball of \mathcal{R} has diameter greater than or equal to $2/3$. Actually, the ε -roughness of the norm of the dual X^* of a Banach space X is equivalent to the fact that all slices of the unit ball of X have diameter greater than or equal to ε [3, Proposition I.1.11], and the second part of our proof is based in the proof of the result above. Let us also note that the first part of the proof of Theorem 6 can be easily adapted to get that all weakly open subsets of $B_{\mathcal{R}}$ have diameter greater than or equal to $2/3$.

Corollary 8. *Every weakly open subset of the unit ball of Read's space \mathcal{R} has diameter greater than or equal to $2/3$.*

We now study convexity properties of Read's space itself. It follows from Theorem 4 that \mathcal{R} is strictly convex (as it is a subspace of \mathcal{R}^{**}), but we may actually prove that it is weakly locally uniformly rotund. Recall that a Banach space X is *weakly locally uniformly rotund* (WLUR in short) if for every $x \in S_X$ and every sequence $(x_n)_{n \in \mathbb{N}}$ in S_X , if $\|x + x_n\| \rightarrow 2$, then $x = w - \lim_n x_n$. If one actually gets that $x = \lim_n x_n$ in norm, we say that the space X is *locally uniformly rotund* (LUR in short). It is clear that LUR implies WLUR and that WLUR implies strict convexity, being the converse results false.

Theorem 9. *Read's space \mathcal{R} is weakly locally uniformly rotund.*

Proof. Let $x, y_m \in S_{\mathcal{R}}$ with $\|x + y_m\| \rightarrow 2$. Observe that it is enough to show that there is subsequence of $(y_m)_{m \in \mathbb{N}}$ which weakly converges to x . Passing to a subsequence, we may assume the existence of $w^* - \lim_m y_m = \bar{y} \in B_{\mathcal{R}^{**}}$. Then, using Remark 2,

$$\begin{aligned}
 (5) \quad 2 &= \lim_m \|x + y_m\| = \lim_m \|x + y_m\|_{\infty} + \sum_{n \in \mathbb{N}} r_n |\langle x + \bar{y}, v_n \rangle| \\
 &\leq \|x\|_{\infty} + \lim_m \|y_m\|_{\infty} + \sum_{n \in \mathbb{N}} r_n |\langle x, v_n \rangle| + \sum_{n \in \mathbb{N}} r_n |\langle \bar{y}, v_n \rangle| \\
 &= \|x\| + \lim_m \|y_m\| = 2.
 \end{aligned}$$

This chain of inequalities implies that

$$(6) \quad \lim_m \|x + y_m\|_{\infty} = \|x\|_{\infty} + \lim_m \|y_m\|_{\infty}$$

and

$$(7) \quad |\langle x + \bar{y}, v_n \rangle| = |\langle x, v_n \rangle| + |\langle \bar{y}, v_n \rangle| \quad \text{for all } n \in \mathbb{N}.$$

As in the proof of Theorem 4, if \bar{y} is not of the form ax with $a \geq 0$, there exists $k \in \mathbb{N}$ such that the values of $\langle x, v_k \rangle$ and $\langle \bar{y}, v_k \rangle$ are non-zero and of opposite signs. Then $|\langle x + \bar{y}, v_k \rangle| < |\langle x, v_k \rangle| + |\langle \bar{y}, v_k \rangle|$, which contradicts (7). So, $\bar{y} = ax \in \mathcal{R}$ for some $a \in [0, +\infty)$. Since $1 = \lim_m \|y_m\| \geq \|ax\| = a$, we deduce that $a \in [0, 1]$.

Passing again to a subsequence, we may assume that there exists $\lim_m \|y_m - ax\|_{\infty}$. From Lemma 7 we obtain that

$$(8) \quad \lim_m \|y_m\|_{\infty} = \max \left\{ a\|x\|_{\infty}, \lim_m \|y_m - ax\|_{\infty} \right\},$$

and

$$\lim_m \|x + y_m\|_{\infty} = \max \left\{ (1 + a)\|x\|_{\infty}, \lim_m \|y_m - ax\|_{\infty} \right\}.$$

Combining this with (6), we obtain that

$$\|x\|_\infty + \max \left\{ a\|x\|_\infty, \lim_m \|y_m - ax\|_\infty \right\} = \max \left\{ (1+a)\|x\|_\infty, \lim_m \|y_m - ax\|_\infty \right\},$$

which implies that

$$\lim_m \|y_m - ax\|_\infty \leq a\|x\|_\infty,$$

so, by (8),

$$\lim_m \|y_m\|_\infty = a\|x\|_\infty.$$

Taking into account that all the inequalities in (5) are, in fact, equalities, and substituting $\bar{y} = ax$, we get

$$2 = \|x\|_\infty + \lim_m \|y_m\|_\infty + \sum_{n \in \mathbb{N}} r_n |\langle x, v_n \rangle| + \sum_{n \in \mathbb{N}} r_n |\langle ax, v_n \rangle| = (1+a)\|x\|_\infty = 1+a.$$

So $a = 1$ and $w - \lim_m (y_m - x) = ax - x = 0$. \square

The above result cannot be improved to get that \mathcal{R} is LUR. This is so because it follows easily from the definition, that the unit ball of a LUR space contains slices of arbitrarily small diameter and this contradicts Corollary 8 or, alternatively, because the norm of the dual space of a LUR space is Fréchet differentiable at every norm-attaining functional by the Smulyan's criterium (see [3, Theorem I.1.4], for instance).

Remark 10. Read's space \mathcal{R} is not locally uniformly rotund.

Observe that \mathcal{R} is not smooth (this follows from the formula for the directional derivative of the norm $\|\cdot\|$ given in [8, Lemma 2.5]). Nevertheless, one can modify \mathcal{R} in such a way that the modified space $\tilde{\mathcal{R}}$ is simultaneously strictly convex and smooth (actually, its dual is also smooth and strictly convex), but the set of norm-attaining functionals remains the same. This is a consequence of the following argument which appears in the proof of [2, Theorem 9.(4)] by G. Debs, G. Godefroy, and J. Saint Raymond, and which we include here for the sake of completeness.

Lemma 11. *Let X be a separable Banach space, let $\{x_n : n \in \mathbb{N}\}$ be a dense subset of B_X , and consider the bounded linear operator $T : \ell_2 \rightarrow X$ be defined by $T((a_n)_{n \in \mathbb{N}}) = \sum_{n=1}^{+\infty} \frac{a_n}{2^n} x_n$ for every $(a_n)_{n \in \mathbb{N}} \in \ell_2$. Consider the equivalent norm on X , denoted by $\|\cdot\|_s$, for which the set $V = B_X + T(B_{\ell_2})$ is its unit ball. Then, $(X, \|\cdot\|_s)^*$ is strictly convex and so, $(X, \|\cdot\|_s)$ is smooth, and $\text{NA}(X) = \text{NA}(X, \|\cdot\|_s)$. Moreover, if X is strictly convex, then $(X, \|\cdot\|_s)$ is also strictly convex; if X^* is smooth, then $(X, \|\cdot\|_s)^*$ is also smooth.*

Proof. The set $V = B_X + T(B_{\ell_2})$ is bounded, balanced and solid. Its closedness follows from the compactness of $T(B_{\ell_2})$. This explains the definition of $\|\cdot\|_s$. A functional $f \in X^*$ attains its maximum on V if and only if it attains its maximum both on B_X and $T(B_{\ell_2})$, but all functionals attain their maximums on $T(B_{\ell_2})$, so $\text{NA}(X) = \text{NA}(X, \|\cdot\|_s)$. It is easy to show that for every $f \in X^*$, $\|f\|_s = \|f\| + \|T^*(f)\|_2$. Since T^* is one-to-one (as T has dense range) and $\|\cdot\|_2$ is strictly convex, it follows that $(X, \|\cdot\|_s)^*$ is strictly convex and so $(X, \|\cdot\|_s)$ is smooth.

Finally, if X is strictly convex, so is $(X, \|\cdot\|_s)$ as a functional $f \in X^*$ cannot attain its maximum in two different points of V ; if X^* is smooth, then so is $(X, \|\cdot\|_s)^*$ as its norm is the sum of two smooth norms. \square

We are now ready to present a smooth version of Read's space.

Example 12. Consider $\tilde{\mathcal{R}}$ to be the renorming of Read's space \mathcal{R} given by the procedure of the above lemma. Then, $\tilde{\mathcal{R}}^*$ is strictly convex and smooth, so $\tilde{\mathcal{R}}$ is also strictly convex and smooth, and solves negatively both problems (S) and (G). That is, $\tilde{\mathcal{R}}$ does not contain proximal subspaces of finite codimension greater than or equal to 2 and $\text{NA}(\tilde{\mathcal{R}})$ does not contain two-dimensional linear subspaces.

Indeed, $\tilde{\mathcal{R}}$ and $\tilde{\mathcal{R}}^*$ are smooth, and $\text{NA}(\tilde{\mathcal{R}}) = \text{NA}(\mathcal{R})$, so $\text{NA}(\tilde{\mathcal{R}})$ does not contain linear subspaces of dimension greater than or equal to 2. But then it is easy to show that this implies that $\tilde{\mathcal{R}}$ does not contain proximal subspaces of finite codimension greater than or equal to 2 (see [5, Proposition III.4], for instance).

Acknowledgment: The authors are grateful to the anonymous referee for helpful suggestions which improved the final version of the paper. In particular, Proposition 3 and the possibility of Theorem 6 to be true were suggested by the referee.

REFERENCES

- [1] E. BEHREND, On the principle of local reflexivity, *Studia Math.* **100** (1991), 109–128.
- [2] G. DEBS, G. GODEFROY, AND J. SAINT RAYMOND, Topological properties of the set of norm-attaining linear functionals, *Can. J. Math.* **47** (1995), 318–329.
- [3] R. DEVILLE, G. GODEFROY, AND V. ZIZLER, *Smoothness and renormings in Banach spaces*, Pitman Monographs and Surveys in Pure and Applied Mathematics **64**, Longman Scientific & Technical, London, 1993.
- [4] M. FABIAN, P. HABALA, P. HÀJEK, V. MONTESINOS, J. PELANT, AND V. ZIZLER, *Functional Analysis and Infinite-Dimensional Geometry*, Springer, 2000.
- [5] G. GODEFROY, The Banach space c_0 , *Extracta Math.* **16** (2001), 1–25.
- [6] V. INDUMATHI, On transitivity of proximality, *J. Approx. Theory* **49** (1987), 130–143.
- [7] N. KALTON AND D. WERNER, Property (M) , M -ideals, and almost isometric structure of Banach spaces, *J. Reine Angew. Math.* **461** (1995), 137–178.
- [8] C. J. READ, Banach spaces with no proximinal subspaces of codimension 2, arXiv [1307.7958](https://arxiv.org/abs/1307.7958).
- [9] M. RMOUTIL, Norm-attaining functionals need not contain 2-dimensional subspaces, *J. Funct. Anal.* **272** (2017), 918–928.
- [10] I. SINGER, The theory of best approximation and functional analysis, *CBMS-NSF Regional Conference Series in Applied Mathematics*, **13**. SIAM, Society for Industrial and Applied Mathematics VII, Philadelphia, 1974.
- [11] A. C. YORKE, Differentiability and local rotundity, *J. Austral. Math. Soc. Ser. A* **28** (1979), 205–213.

(Kadets) SCHOOL OF MATHEMATICS AND COMPUTER SCIENCES, V. N. KARAZIN KHARKIV NATIONAL UNIVERSITY, PL. SVOBODY 4, 61022 KHARKIV, UKRAINE

ORCID: [0000-0002-5606-2679](https://orcid.org/0000-0002-5606-2679)

E-mail address: vova1kadets@yahoo.com

(López) DEPARTAMENTO DE ANÁLISIS MATEMÁTICO, FACULTAD DE CIENCIAS, UNIVERSIDAD DE GRANADA, 18071 GRANADA, SPAIN

ORCID: [0000-0002-3689-1365](https://orcid.org/0000-0002-3689-1365)

E-mail address: glopezp@ugr.es

(Martín) DEPARTAMENTO DE ANÁLISIS MATEMÁTICO, FACULTAD DE CIENCIAS, UNIVERSIDAD DE GRANADA, 18071 GRANADA, SPAIN

ORCID: [0000-0003-4502-798X](https://orcid.org/0000-0003-4502-798X)

E-mail address: mmartins@ugr.es